

SUBJECT: Important notice regarding requests for mutual funds transfers

Since June 1, 2022, new rules from the Canadian Securities Administrators will ban investment fund managers from paying trailing commissions to discount brokers who don't provide advisory services, like Desjardins Online Brokerage.

As a result of this regulatory change, mutual funds with trailing commissions can no longer be held in or transferred to non-advisory accounts

Upon reception of this form, a switch will be made automatically to a like-to-like or like-to-similar mutual funds class or series with no trailing commissions, without further notice.

If no like-to-like or like-to-similar mutual funds classes or series is available, a representative from Desjardins Online Brokerage will contact you to review your options.

The following link gives you detailed information regarding any trailing commissions that Desjardins Online Brokerage could receive between the transfer request and the switch to a category or series of mutual funds with no trailing commission.

[Platforms and Fees - Investment Types | Desjardins Online Brokerage \(disnat.com\)](https://disnat.com)

1. Account holder information

Mr. Ms. Last Name: _____ First name: _____
 Or entity's name: _____ Attn: _____
 No. and street: _____ Apt.: _____
 City: _____ Province: _____ Country: _____ Postal code: _____
 Social insurance number: _____ Phone: _____

*For joint accounts, indicate the name and SIN of each holder.

Mr.* Ms.* Last name*: _____ First name*: _____
 Social insurance number*: _____

Mr.* Ms.* Last name*: _____ First name*: _____
 Social insurance number*: _____

2. Identification of delivering institution (Transferor)

Name: _____ Phone: _____
 No. and street: _____ Suite: _____
 City: _____ Province: _____ Country: _____ Postal code: _____
 Resource person: _____

3. Transfer authorization

Account numbers at delivering institution	Account numbers at Desjardins Online Brokerage	Type of account	Currency
_____	_____	_____	<input type="checkbox"/> CA\$ <input type="checkbox"/> US\$
_____	_____	_____	<input type="checkbox"/> CA\$ <input type="checkbox"/> US\$

4. Transfer requested

- Total transfer in cash:** available cash and the sale of all securities.
 Total transfer in kind: all securities and available cash.
 Total transfer mixed: available cash and specify which securities to transfer in kind and which securities to sell in the table below.
 Partial transfer: cash transfer \$ _____, and/or specify which securities to transfer in kind and/or to sell in the table below.

Description	Symbol or cusip	Quantity	Type
_____	_____	_____	<input type="checkbox"/> in kind <input type="checkbox"/> sell <input type="checkbox"/> at maturity
_____	_____	_____	<input type="checkbox"/> in kind <input type="checkbox"/> sell <input type="checkbox"/> at maturity
_____	_____	_____	<input type="checkbox"/> in kind <input type="checkbox"/> sell <input type="checkbox"/> at maturity
_____	_____	_____	<input type="checkbox"/> in kind <input type="checkbox"/> sell <input type="checkbox"/> at maturity

To register additional securities, please attach the D208A form "Schedule: List of additional securities", duly completed and signed.

5. Consent

I hereby authorize you to transfer to Desjardins Securities Inc. my account(s) held at your financial institution, in accordance with the directions on this form. I authorize Desjardins Securities to receive the debit or credit balance and all securities in my account(s), including short securities. The short securities shall be delivered against payment by Desjardins Securities. These directions are subject to approval of my account(s) by Desjardins Securities. Desjardins Securities reserves the right to refuse certain securities.

I revoke all open orders relating to my account. However, for a cash transfer request, I authorize the filling of all required orders at market price.

I agree to pay all costs, including differences and adjustments that might arise in relation to this request. I authorize Desjardins Securities to act on my behalf to pay such amounts, which will then be deducted from my account balance(s). If for any reason whatsoever a security held in my account cannot be delivered to Desjardins Securities, I ask that Desjardins Securities be advised immediately, identifying the security(ies) in question and providing, the reason why you are unable to delivered them.

Warning: Desjardins Securities cannot accept the transfer of an account from another Dealer Member if the account is not provided with a cover compliance with regulatory requirements, unless at the time of the transfer, Desjardins Securities has in its possession of sufficient funds or a guarantee to the client's credit to cover the shortfall in the account.

Please send us a copy of your most recent statement to facilitate the transfer process.

X _____ Date (YYYY-MM-DD) X _____ Date (YYYY-MM-DD)*
 Client's signature Date (YYYY-MM-DD) Client's signature (joint account)* Date (YYYY-MM-DD)*

* For joint accounts

6. Transferee (Receiving funds)

For Internal Use Only

Desjardins Securities Inc. Attn.: VP DIPO-Transferts 1 Complexe Desjardins, P.O. Box 800, Desjardins Station Montreal QC H5B 0B1	CUID CDS DTC Investment Funds Fax number	VMDM 5028 9356 - _____ 514 842-4929
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X _____ TRANSFERS DEPT _____ Date (YYYY-MM-DD)
 Signature of authorized person Title or Position

Note 1: Who fills out the form?

Section 1 to 3	All fields are mandatory. If the account is joint, indicate the name and SIN of each holder.
Section 4	Requested transfer: Select total if you want the entire account (cash and securities) Select one (1) of the following three choices In cash = available cash and sale of all securities In kind = unchanged (cash + securities) Mixed = cash, certain securities in kind and other securities to be sold (you must provide us with the list of securities to transfer in kind and to sell) Select partial if you want one or a few positions in the account (cash and/or securities) Enter the information requested in the appropriate fields In cash = cash and/or sale of certain securities (you must provide a list of securities to sell) In kind = you must provide us the track list (available cash may be included) Mixed = cash transfer can be included of and/or certain securities in kind and other securities to be sold (you must provide us with the list of securities to transfer in kind and to sell)
Section 5	The client's signature and date of signature are required. The delivering institution may reject the transfer if the date of the signature is more than 6 months old. If the account is a joint account, each holder must sign.
Section 6	This section is completed by the Transfer department.

Definitions :

Transferor – The institution from which the assets are transferred.

Transferee – The institution to which the assets are transferred.

Joint – The term «joint» designates each account holders.

Cash – Cash balance available in the account.